

<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371</b>		ATTORNEY'S DOCKET NUMBER <b>MNE 107</b>
INTERNATIONAL APPLICATION NO. <b>PCT/EP2003/007515</b>		U.S. APPLICATION NO. (if known, see 37 CFR 1.5) <b>10/520782</b>
INTERNATIONAL FILING DATE <b>10 July 2003</b>		PRIORITY DATE CLAIMED <b>10 July 2002</b>
TITLE OF INVENTION <b>SYSTEMS FOR RELEASING ACTIVE INGREDIENTS, BASED ON BIODEGRADABLE OR BIOCOMPATIBLE POLYMERS WITH A SHAPE MEMORY EFFECT</b>		
APPLICANT(S) FOR DO/EO/US <b>LENDLEIN, Andreas; STEUER, Susi; and TULEWEIT, Annika</b>		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a submission under 35 U.S.C. 371.		
2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371.		
3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.		
4. <input checked="" type="checkbox"/> The US has been elected (Article 31).		
5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ul style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input checked="" type="checkbox"/> has been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ul>		
6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). <ul style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> is attached hereto.</li> <li>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</li> </ul>		
7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> have been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input type="checkbox"/> have not been made and will not be made.</li> </ul>		
8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).		
9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). <b>[Unexecuted]</b>		
10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).		
<b>Items 11 to 20 below concern document(s) or information included:</b>		
11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.		
12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.		
13. <input checked="" type="checkbox"/> A preliminary amendment.		
14. <input checked="" type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.		
15. <input type="checkbox"/> A substitute specification.		
16. <input type="checkbox"/> A power of attorney and/or change of address letter.		
17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.		
18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4).		
19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).		
20. <input checked="" type="checkbox"/> Other items or information: <i>Copy of International Search Report</i>		

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) <div style="font-size: 1.5em; font-weight: bold; text-align: center;">10/520782</div>		INTERNATIONAL APPLICATION NO. PCT/EP2003/007515		ATTORNEY'S DOCKET NUMBER MNE 107											
21. The following fees are submitted: <input checked="" type="checkbox"/> a) Basic national fee..... \$300.00 <input checked="" type="checkbox"/> b) Examination fee..... \$200.00 <input checked="" type="checkbox"/> c) Search fee..... \$500.00 <div style="text-align: right; margin-top: 5px;"> <b>TOTAL OF ABOVE CALCULATIONS = \$1000.00</b> </div>				<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th style="text-align: left;">Applicant use</th> <th style="text-align: left;">Office use only</th> </tr> <tr> <td>\$ 300.00</td> <td></td> </tr> <tr> <td>\$ 200.00</td> <td></td> </tr> <tr> <td>\$ 500.00</td> <td></td> </tr> <tr> <td>\$ 1,000.00</td> <td></td> </tr> </table>		Applicant use	Office use only	\$ 300.00		\$ 200.00		\$ 500.00		\$ 1,000.00	
Applicant use	Office use only														
\$ 300.00															
\$ 200.00															
\$ 500.00															
\$ 1,000.00															
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.															
Total Sheets	Extra sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE												
45 - 100 =	/50 =		x \$250.00												
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$											
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$											
Total claims	21 - 20 =	1	x \$50.00	\$ 50.00											
Independent claims	2 - 3 =	0	x \$200.00	\$											
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360.00	\$											
TOTAL OF ABOVE CALCULATIONS =				\$											
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$											
SUBTOTAL =				\$ 1,000.00											
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$											
TOTAL NATIONAL FEE =				\$ 1,050.00											
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$											
TOTAL FEES ENCLOSED =				\$											
Amount to be refunded:				\$											
Amount to be charged				\$											
a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed. b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>50-3129</u> in the amount of \$ <u>1,050.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>50-3129</u> . A duplicate copy of this sheet is enclosed. d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.															
<b>NOTE:</b> Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.															
SEND ALL CORRESPONDENCE TO:  <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;">           Patrea L. Pabst            Pabst Patent Group LLP            1201 Peachtree Street, Suite 1200            Atlanta, Georgia 30361            United States of America         </div> <div style="width: 45%; text-align: right;"> <div style="font-family: cursive; font-size: 1.2em; margin-bottom: 5px;">Rivka D. Monheit</div>           SIGNATURE            Rivka D. Monheit             NAME            48,731             REGISTRATION NUMBER         </div> </div>															

DT15 Rec'd PCT/PTO 10 JAN 2005

**IN THE UNITED STATES RECEIVING OFFICE FOR THE  
PATENT COOPERATION TREATY**

Applicant: LENDLEIN, Andreas; STEUER, Susi; and  
TULEWEIT, Annika

International Application No: PCT/EP2003/007515  
International Filing Date: 10 July 2003

Express Mail Label No: EV 487327591 US  
Date of Deposit: January 10, 2005

For: SYSTEMS FOR RELEASING ACTIVE INGREDIENTS,  
BASED ON BIODEGRADABLE OR BIOCOMPATIBLE  
POLYMERS WITH A SHAPE MEMORY EFFECT

MAIL STOP PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**EXPRESS MAIL TRANSMITTAL LETTER  
FOR PATENT APPLICATION AND CERTIFICATE OF MAILING**

Sir:

Pursuant to 35 U.S.C. § 371 and 37 C.F.R. § 1.10, applicants enclose a Transmittal Letter to the United States Designated/Elected Office (DO/EO/US), a copy of the published International Application No. PCT/EP2003/007515 entitled "*SYSTEMS FOR RELEASING ACTIVE INGREDIENTS, BASED ON BIODEGRADABLE OR BIOCOMPATIBLE POLYMERS WITH A SHAPE MEMORY EFFECT*", filed in the European Patent Office for the PCT on 10 July 2003, which claims priority to U.S. Serial No. 60/395,167 filed 10 July 2002; U.S. Serial No. 60/218,408 filed 14 July 2002; and U.S. Serial No. 60/218,760 filed 17 July 2002, a Preliminary Amendment, an unexecuted Declaration for Patent Application, English Translation, and Application Data Sheet.

This application is being filed on January 10, 2005, by mailing the Transmittal Letter to MAIL STOP PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 via the United States Postal Service "Express Mail Post Office to Addressee" Service under 37 C.F.R. § 1.10. The Express Mail label number appears in the heading of this paper which is attached to the Transmittal Letter.

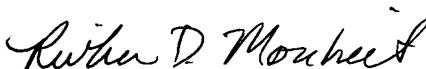
International Application No.: PCT/EP2003/007515  
International Filing Date: 10 July 2003  
**EXPRESS MAIL TRANSMITTAL LETTER FOR  
PATENT APPLICATION AND CERTIFICATE OF MAILING**  
Express Mail Label No.: EV 487327591 US  
Date of Deposit: January 10, 2005

All correspondence concerning this application should be mailed to:

**Customer No. 23579  
Patrea L. Pabst, Esq.  
PABST PATENT GROUP LLP  
400 Colony Square, Suite 1200  
1201 Peachtree Street  
Atlanta, Georgia 30361**

**(404) 879-2151 (Telephone)  
(404) 879-2160 (Fax)**

Respectfully submitted,

  
\_\_\_\_\_  
Rivka D. Monheit  
Registration No. 48,731

Date: January 10, 2005

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International Application No.: PCT/EP2003/007515  
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Express Mail Label No.: EV 487327591 US  
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**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.10**

I hereby certify that this Express Mail Transmittal Letter for Patent Application and any documents referred to as attached therein are being deposited with the United States Postal Service on this date, January 10, 2005, in an envelope as "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10, Mailing Label Number EV 487327591 US addressed to MAIL STOP PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date: January 10, 2005

  
Michelle Ward